



AZM UNIVERSITY  
جامعة العزم



# Professional Training and Qualifications in



Anti Money Laundering  
Governance, Risk and Compliance  
Financial Crime Prevention

Learn  
Excel  
Lead

# It's all about you

## Training designed and delivered to meet your business needs

International Compliance Training offers in-house training worldwide. All ICA qualifications can be taught in-house and we can also deliver more bespoke training designed uniquely for your business requirements. We will help you define your needs so you get exactly what you want and we will work with you to develop the knowledge, skills and confidence of your staff.

Evaluating the existing knowledge and skills levels of your staff can often be the first place to start. ICT will work with you to create a skills-based assessment which can help formulate a precise training plan with clear-cut outcomes.

- Creation of an ideal programme that meets those defined objectives
- Content tailored to the specific needs of your firm including internal policies and procedures and the use of real-life case studies
- Training undertaken at a date, time and location that is suitable for you

- Provision of high-quality course materials and engaging classroom-based tuition
  - Choice of assessment method: multiple choice, written assignments, examinations or a combination of all
  - Post-training evaluation and on-going review of the development of your staff
- So it really is all about you.

“ICT have been fantastic in both the course administration, and allowing the workshop to be tailored to the sector and jurisdictions in which it has been delivered. The training was given in-house to senior management, trainers as well as the AML teams and has been received well by all. It has now become an integral part of our AML programme”

Daniel Macelennan  
Vodafone

## ICT training

Whether you are a qualified professional looking for CPD or a firm looking for bespoke training ICT offers training on a wide variety of subjects including:

- Anti Money Laundering
- Compliance
- Corporate Social Responsibility
- Data Protection
- Fraud Prevention
- Fund Administration
- Investigative Interviewing
- Leadership and Management
- Report Writing
- Sanctions
- CDD and KYC

**ICT believes in innovative, effective knowledge transfer. We understand the markets and issues that you and your staff deal with on a daily basis and we work in partnership to find the right solution for you.**

To find out more contact us on [ict@int-comp.com](mailto:ict@int-comp.com)

**Flexible. Affordable. Bespoke.**

# ICA Diploma in Anti Money Laundering

## ICA International Diploma in Anti Money Laundering

The ICA Diplomas in Anti Money Laundering (AML) are comprehensive and reflect the complexity of today's regulatory environment. These programmes focus on relevant best practice, legislation and regulations. They examine various strategies, frameworks and vulnerabilities. Elements involved in the reporting of suspicious transactions are explored. Internal controls are considered including the design of money laundering prevention frameworks, and Customer Due Diligence.

The Diplomas focus on current major issues that impact upon the money laundering prevention role. By the end of the programme, you will have an in-depth (practical) knowledge that can be drawn upon in the execution of your duties. Understanding the external framework gives you a perspective that will enable you to make informed decisions in the delivery of your money laundering prevention strategy. Knowledge gained can be used to evaluate systems and controls and tailor them to meet future challenges.

### Who the Diploma will Benefit

- Money Laundering Reporting Officers and Deputies
- Money Laundering Prevention Officers and Deputies
- Managers with responsibility for internal Anti Money Laundering
- Risk Managers
- Internal and external audit staff
- Regulatory representatives
- Accountants
- Lawyers
- Company Secretaries
- Anyone who requires an in-depth knowledge of the fundamental regulatory principles in relation to money laundering reporting and prevention

### Course Format

- A graduate level programme resulting in a professional qualification
- 12 – 9 months duration
- 4 workshops (where offered)
- 3 pieces of assessed work, each approximately -2,500 3,500 words in length

The syllabus for the UK and the International Diploma is the same, although the course materials will differ in terms of perspective.

### Syllabus

#### Module 1: Anti Money Laundering (AML) and Combating Terrorist Financing (CTF) in Context

- Money Laundering and Terrorist Financing - definitions and nature
- The international context
- Anti Money Laundering and Counter Terrorist Financing frameworks
- Vulnerabilities of products and services

#### Module 2: Customer Identification (CDD), Customer Risk Profiling and Customer Monitoring

- Client Due Diligence (CDD)

#### Module 3: Sanctions

- Sanctions

#### Module 4: Designing a Comprehensive AML and CTF Framework for a Financial Services Business

- Concepts of risk management
- Risk based approach to AML and CTF
- Escalations and exit strategies

#### Module 5: Governance and Leadership

- The AML governance framework
- Management issues for AML and CTF policy
- Culture and training

#### Module 6: Suspicious Activity/ Suspicious Transaction Reporting and Dealing with the Authorities

- Transaction monitoring
- Recognition, handling and reporting transactions
- Post-reporting considerations
- Dealing with the authorities

“The ICA Diploma in AML provides an excellent grounding in the complex regulation and guidance surrounding AML. It has had a measurable impact upon the way that I fulfil my duties as MLRO.”

**Stuart Lennon**  
Money Transfer International, UK

# ICA Diploma in Governance, Risk and Compliance

## ICA International Diploma in Governance, Risk and Compliance

The objectives of regulation, and specifically of regulators, have been well established for some years now having evolved significantly over the past 20 years. However in a post credit crisis world it has become apparent, sometimes painfully so, that the approach to meeting those objectives employed by regulators and governments alike, has often failed to successfully support the achievement of these objectives.

Therefore a new approach is emerging; one that is far more holistic in nature and that does not focus upon regulatory compliance in isolation, but rather considers it in conjunction with the closely aligned disciplines of risk management and governance.

The ICA Diploma in Compliance has evolved to reflect this development in recent years and this year we pleased to be making further significant additions to the syllabus to maintain the qualification's position at the forefront of regulatory compliance training. To reflect this update we have also decided to change the title of the course to the ICA Diploma in Governance, Risk and Compliance so that potential students better understand its relevance in today's environment and so that Diploma holders will be better able to demonstrate the broader nature of their qualification.

### Who the Diploma will benefit

- GRC Managers
- Compliance Officers
- Deputy Compliance Officers
- Managers with responsibility for internal compliance controls
- Risk Managers
- Internal and external audit staff
- Regulatory representatives
- Accountants
- Lawyers
- Company Secretaries
- Legal practitioners and support staff

### Course Format

- A graduate level programme resulting in a professional qualification
- 12 – 9 months duration
- 4 workshops (where offered)
- 3 pieces of assessed work, each approximately 3,500-2,500 words in length

### Syllabus

#### Module 1: Understanding GRC

- Defining Governance, Risk and Compliance
- Ethics, integrity and organisational culture: Taking GRC to the next level

#### Module 2: GRC in Context

- Understanding the regulatory environment

#### Module 3: Practical Application of GRC

- Corporate Governance; the foundation of the GRC framework
- How to effectively manage regulatory risk
- So what is the role of the compliance function?
- How to design effective GRC systems and controls

#### Module 4: Financial Crime Prevention

- Managing the risk of financial crime

The course gave me a comprehensive and detailed knowledge of a compliance environment from an international perspective. It has allowed me to progress my career to management level

Jason Besnard

Ansbacher Limited, Guernsey

# ICA Diploma in Financial Crime Prevention

## ICA International Diploma in Financial Crime Prevention

The ICA Diplomas in Financial Crime Prevention are detailed programmes, drawing upon best practice to combat the range of financial crime. The knowledge gained will enable you to identify risks and implement effective systems and controls. You will learn about your own regulatory environment and be asked to create and implement fraud and financial crime prevention policies. You will study the legal dimensions, the motivations behind criminal activity and how to undertake an investigation all the way through to prosecution. Wherever you are in the world, you can benefit from this programme. It will build upon your existing experience and help you stay one step ahead of today's sophisticated financial criminals.

With materials written by experienced industry practitioners the Diploma is a practically focused qualification that imparts useful skills that can be used in the workplace. This is not just another fraud prevention training programme. This is certificated learning providing a benchmark of excellence that is recognised the world over.

### Who the Diploma will Benefit

- Fraud Prevention Officers and Managers and Deputies
- Money Laundering Reporting Officers and Deputies
- Money Laundering Prevention Officers and Deputies
- Managers with responsibility for internal Anti Money Laundering and Compliance Controls
- Risk Managers
- Internal and external audit staff
- Regulatory representatives
- Fraud investigation staff
- Representatives from the public sector and law enforcement

### Course Format

- A graduate level programme resulting in a professional qualification
- 12- 9 months duration
- 4 workshops (where offered)
- 3 pieces of assessed work, each piece approximately 3,500-2,500 words in length

### UK Syllabus

#### Module 1: An Introduction to Financial Crime

- Understanding and managing financial crime
- The international models and influences

#### Module 2: Financial Crime - The UK Framework

- Key roles and responsibilities
- The legal framework
- The UK Anti Money Laundering and Counter Terrorist Financing framework and strategy
- Market fraud (market manipulation/ misleading statements), insider dealing and market abuse
- Bribery and corruption

#### Module 3: The Prevention and Detection of Specific Financial Crime Risks

- Business frauds
- Financial sector fraud
- Electronic crime
- Data and information security

#### Module 4: Investigation, Prosecution and Recovery

- The law, standards and codes affecting investigation and prosecution
- Internal investigation
- Asset tracing, confiscation and recovery

### International Syllabus

#### Module 1: An Introduction to Financial Crime

- Understanding financial crime
- The international agencies and standard setters
- The international legal models, conventions and standards

#### Module 2: Practical Application of the International Standards

- The prevention and detection of money laundering
- The financing of terrorism
- Electronic crime
- Data and information security
- Bribery and corruption

#### Module 3: Managing, Prevention and Detecting Financial Crime Risks

- Corporate governance and the management of financial crime
- Market fraud: market manipulation/ misleading statements, insider dealing and market abuse
- Corporate and business frauds
- Financial sector fraud

#### Module 4: Investigation Prosecution and Recovery

- Investigating fraud internally
- Global investigation, prosecution and recovery

Although not a 'legal' course, I found the Diploma in Financial Crime Prevention very useful in helping me to translate the relevant laws and regulations into real life, everyday situations in my role as a financial crime professional.

**Nicholas Hart-Nibbs**  
MF Global, UK

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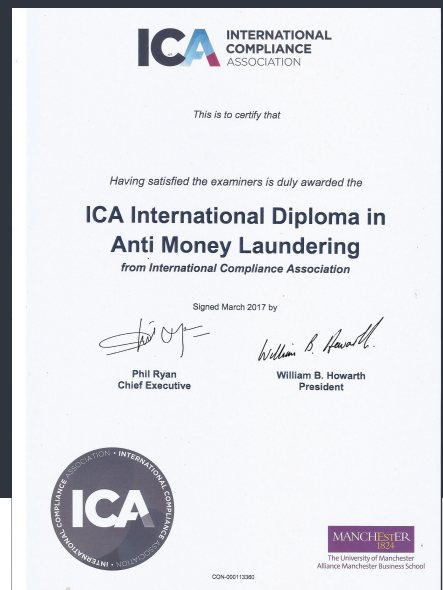


For these ICA Diplomas to be also delivered in Beirut, a Memorandum of Understanding (MOU) has been signed between the American University of Beirut (AUB) and AZM University allowing the ICA & ICT candidates to attend the 4 workshops for each diploma at AUB.

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"All ICA Diplomas and certificated education programs are offered in association with the University of Manchester Business School."

As this qualification is awarded in association with Alliance Manchester Business School, the University of Manchester you can be rest assured that you are studying for a worthwhile, accredited course.



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